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#### NOTIFICATION

No. G. 24014/1/2019-PLG, the 9<sup>th</sup> October, 2019. In the interest of public service the Governor of Mizoram is pleased to make the following Guidelines for Grant of Financial Assistance for Scientific Research & Technological Innovation Project under the Directorate of Science & Technology:

# 1.0 Nature of Project Support

- 1.01 Scientific & Technological Studies and Surveys:
  - This includes support for carrying out S&T studies/surveys including techno-economic analysis, simulation modeling and studies etc; and development of S&T database, S&T resources, specific status reports etc.
- 1.02 Location Specific Research and Technology Development:
  Identifying/projectising S&T programmes including support for development oriented location specific research and technology development.
- 1.03 Pilot Scale Demonstration Projects:
  - Pilot scale demonstration projects including field trials etc. based on technologies developed by S&T Agencies/Labs /Institutions etc. relevant to the State needs.
- 1.04 Joint Programming:
  - To evolve and support certain joint programmes focusing on multi-sectoral area based approach in cooperation with State, Central Institutions and NGOs. These areas should be so identified where S&T intervention could significantly improve the existing socio- economic conditions.
- 1.05 Industrial Problem:
  - This would include the problems faced by small/medium scale industries/individuals which are not in a position to invest in scientific research and development for their problems.

# 2.0 Eligibility criteria:

- 2.01 Institutions
- 2.01.1 All Research & Development Institutions in the State approved by Government of India's Department/Agency/University/ Deemed University will be eligible for the assistance.
- 2.01.2 UGC/AICTE approved Colleges, including autonomous as well as those affiliated to Universities.
- 2.01.3 Any other professional institution approved by Government of Mizoram.
- 2.02 Non Governmental Organizations with legal status as a Society registered under Societies Registration Act 1860 or Mizoram Societies Registration Act, 2005. It should have Science and technology based goals as the main part of its aims & objectives as clearly given in its Memorandum of Association.

2.03 Any technological innovators with projects having relevance for socio-economic development of the state.

#### 3.0 Quantum of Assistance

Assistance for research work from R&D Institution/College, science based NGOs and innovators will be in the form of grant for necessary expenditure incurred towards such activities and the amount or ceiling of project grant will be determined on case to case basis by the Directorate of Science & Technology (DST).

# 4.0 Duration of the Project

The duration of the project should be 12 to 24 months and in no case should exceed 36 months.

#### 5.0 Selection Procedure

- 5.01 Evaluation by the expert(s) in the prescribed evaluation proforma.
- 5.02 Selection by the Selection Committee as constituted by the Government from time to time.

# 6.0 Guidelines for formulating proposals

- 6.01 The proposal should clearly establish linkage of S&T to overall development of the State. The area identified should have potential to contribute in socio-economic upliftment of the people of State
- 6.02 The proposal objective should be oriented to specific outputs, which could be possible to implement in the duration of 12 months to 24 months and in no case should exceed 36 months.
- 6.03 While writing the proposal, it should be ensured that scientific and technical details are clearly spelt out.
- 6.04 Proposals should have specific, concrete, quantifiable objective.
- 6.05 The proposals should be based on innovative technologies/ideas. Major programmes of extension based on proven technologies are also not considered.
- 6.06 Results of ongoing and completed projects of the organization must be reflected in the formulation of new proposals.

#### 7.0 General terms and conditions

- 7.01 The project will become operative with effect from the date of release of the first installment for the project.
- 7.02 The Principal Investigator should have at least 5 years teaching/research experience. Each of the proposals should have PI and one or more Co-PIs.
- 7.03 The Principal Institution assumes financial and other administrative responsibilities of the project.
- 7.04 In case of multi-institutional project, formal agreement from the collaborating institutions/ scientists should support the proposal.
- 7.05 International travel is not permissible under the project.
- 7.06 The manpower recruited for the project should be paid as per the rules of the Institute and quidelines of the Government.
- 7.07 It is proposed to maximize the use of equipment. In this connection, the Investigator shall permit the use of spare or idle capacities of equipment procured under the project by bonafide users (research workers in other Directorate of Science & Technology sponsored projects or other projects).
- 7.08 The grants-in-aid will be utilized strictly for the specific programme and should be exclusively spent on the programme and within the time frame as specified in the sanction letter. Reappropriation of funds from one stipulated head to another head is not permitted without prior approval of Directorate of Science & Technology.

- 7.09 On successful completion of 1<sup>st</sup> year of research work, if there is any delay in disbursing the 2<sup>nd</sup> year installment by Directorate of Science & Technology, the concern institute/grantee should arrange to provide the necessary financial support (As approved by Directorate of Science & Technology) to the PI to continue the work and to complete the project as per the project time schedule and duration. Once the Directorate of Science & Technology grant is disbursed, the amount provided by institute/grantee shall be reimbursed accordingly.
- 7.10 If the Institution/grantee is unable to start the programme within six months of the receipt of the grants-in-aid, the approval shall ipso facto lapse. The Institute may not entrust the implementation of the work for which the grant is being sanctioned to another institution and to divert the grant receipts as assistance to the latter institution.
- 7.11 The Institute/grantee would maintain separate audited accounts for the project. If it is found expedient to keep a part or whole of the grant in a bank account earning interest, the interest thus earned shall be used to procure equipment or for other purposes of the programme approved by the Directorate of Science & Technology.
- 7.12 The grants-in-aid released under these programmes cannot be spent on creating infrastructural facilities.
- 7.13 Directorate of Science & Technology reserves the right to terminate the project at any stage if it is convinced that the grants-in-aid has not been properly utilized and/or sufficient progress is not achieved towards the purpose for which the grants-in-aid was sanctioned.
- 7.14 The assets acquired out of the grants-in-aid shall be the property of the Institute/grantee. No assets acquired out of the grants-in-aid shall be disposed off without the permission of the Directorate of Science & Technology.
- 7.15 The grantee shall observe all financial norms and guidelines as prescribed by the Directorate of Science & Technology from time to time.
- 7.16 The Principal Investigator must furnish progress report of work on the project every six months in the prescribed format. The progress of the project will also be evaluated by the Directorate of Science & Technology in the prescribe proforma. In addition, the DST may depute its representatives to visit the Institute/project site periodically for reviewing the progress of work and for suggesting such measures as to ensure early realisation of the objectives of the project if found expedient. On completion of the project, five copies of a consolidated report of the work done on the subject should be submitted.
- 7.17 The Principal Investigator must take steps to ensure that the Utilization Certificate and statement of accounts/expenditure for the grants-in-aid are submitted by the Institute/grantee at the end of each financial year as well as a consolidated statement of expenditure at the completion of the project.
- 7.18 If the Principal Investigator leaves the institution, retires, or goes on long leave, the institute/ grantee will appoint another Principal Investigator to the programme, under intimation to the Directorate of Science & Technology immediately.
- 7.19 Institute/grantee wishing to publish books/research papers/popular articles based on the research work done under the projects should acknowledge the financial support received from the Directorate of Science & Technology.
- 7.20 Other terms and conditions will be there as applicable in State Government Institutes as the case may be.
- 8. Documents /Enclosures required with the proposal
  - 8.1 Details of the proposals (5 nos. hard copy and soft copy)
  - 8.2 Certificate from Investigator(s)
  - 8.3 Endorsement from the Head of the Institutions (on letterhead)
  - 8.4 Registration certificate, Memorandum of Association, rules and regulations of the Institution, audited Balance sheet and Annual report of previous two years. (Applicable only for NGOs)

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- 9. Instruction for filling up the proforma
  - 9.1 A-4 size (21 cms x 29cms) paper should be used.
  - 9.2 Should be type as per the layout given in the format on both sides.
  - 9.3 Should not skip reproduction of any section even if the answer is "Nil' or produced elsewhere.
  - 9.4 Project title should be precise and should not exceed normally 20 words within two lines.
  - 9.5 Expected total duration of the project should normally be 12 to 24 months and in no case should exceed 36 months.
  - 9.6 If project is to be executed by more than one and/or requires regular inputs from other scientists, names of collaborating institutions/scientists may be listed.
  - 9.7 Telegraphic language should be used to the maximum extent possible for objectives, work plan, methodology, expected outcome, etc.

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